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jc882 U.S. PTO

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PTO/SB/21 (04-2000)

Approved for use through 10/31/2002. OMB 0651-0090
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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05/23/01
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

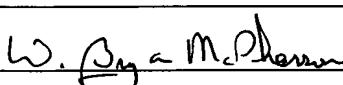
Total Number of Pages in This Submission

Application Number	(Unassigned)
Filing Date	September 14 2000
First Named Inventor	Eric W. Nielsen ET AL.
Group Art Unit	(Unassigned)
Examiner Name	(Unassigned)
Attorney Docket Number	00-357

ENCLOSURES (check all that apply)

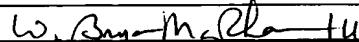
<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Declaration Acknowledgement postcard Request/Petition Under CFR 1.53(c)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	This is a request to convert provisional application Serial No. 60/232,392, filed 9/14/2000, to a non-provisional application.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	W. Bryan McPherson III, Registration No. 41,988
Signature	
Date	May 23, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope address to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name	W. Bryan McPherson III
Signature	
Date	May 23, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

862

Complete if Known

Application Number	(Unassigned)
Filing Date	(Herewith)
First Named Inventor	Eric W. Nielsen ET AL.
Examiner Name	(Unassigned)
Group Art Unit	(Unassigned)
Attorney Docket No.	00-357

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-1129**
 Deposit Account Name _____

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710
106 320	206 160	Design filing fee	_____
107 490	207 245	Plant filing fee	_____
108 710	208 355	Reissue filing fee	_____
114 150	214 75	Provisional filing fee	_____

SUBTOTAL (1) (\$)

710

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
24	-20** = 4	X 18 =	72
Independent Claims	4	- 3** = 1	X 80 = 80
Multiple Dependent			= _____

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

152

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	_____
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	_____
139 130	139 130	Non-English specification	_____
147 2,520	147 2,520	For filing a request for ex parte reexamination	_____
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	_____
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	_____
115 110	215 55	Extension for reply within first month	_____
116 390	216 195	Extension for reply within second month	_____
117 890	217 445	Extension for reply within third month	_____
118 1,390	218 695	Extension for reply within fourth month	_____
128 1,890	228 945	Extension for reply within fifth month	_____
119 310	219 155	Notice of Appeal	_____
120 310	220 155	Filing a brief in support of an appeal	_____
121 270	221 135	Request for oral hearing	_____
138 1,510	138 1,510	Petition to institute a public use proceeding	_____
140 110	240 55	Petition to revive - unavoidable	_____
141 1,240	241 620	Petition to revive - unintentional	_____
142 1,240	242 620	Utility issue fee (or reissue)	_____
143 440	243 220	Design issue fee	_____
144 600	244 300	Plant issue fee	_____
122 130	122 130	Petitions to the Commissioner	_____
123 50	123 50	Processing fee under 37 CFR 1.17(q)	_____
126 180	126 180	Submission of Information Disclosure Stmt	_____
581 40	581 40	Recording each patent assignment per property (times number of properties)	_____
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	_____
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	_____
179 710	279 355	Request for Continued Examination (RCE)	_____
169 900	169 900	Request for expedited examination of a design application	_____
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	W. Bryan McPherson III	Registration No. (Attorney/Agent)	41,988	Telephone	(309) 675-4015	
Signature	<i>W. Bryan McPherson III</i>				Date	05/23/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
 NIELSEN et al.)
)
 Application No. 60/232,392)
)
 Filed: September 14, 2000)
)
 For: GRAPHICAL USER INTERFACE)
 METHOD AND APPARATUS FOR)
 INTERACTION WITH FINITE)
 ELEMENT ANALYSIS APPLICATIONS)

Attorney Docket No. 00-357L)
)

Peoria, Illinois 61629-6490
May 23, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CONVERSION OF PROVISIONAL
TO NON-PROVISIONAL APPLICATION
(37 C.F.R. § 1.53(c)(3))

Sir:

Please convert the above identified provisional application filed to a non-provisional application under 37 C.F.R. § 1.53(c)(3).

1. A Declaration executed by the inventor(s) is enclosed.

Respectfully submitted,


W. Bryan McPherson
Reg. No.: 41.998
Caterpillar Inc.

Telephone: (309) 675-4015
Facsimile: (309) 675-1236